

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

041-1894D

SERIAL NO.

09/917,956

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Toshiki KINDO

FILING DATE

July 31, 2001

GROUP ART UNIT

2121

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

RECEIVED

MAY 04 2004

FOREIGN PATENT DOCUMENTS

Technology Center 2100

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
Q.M.H.	1.	JP 06243173 A	9/1994	JAPAN					X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

Q.M.H.	2.	"Filtered Information and Intelligent Agent Software" by M. Ojala. Business Information Alert, Vol. 8, No. 6, c. 1995.
	3.	"A Machine Learning Approach to Document Retrieval: An Overview and an Experiment" by H. Chen. Proceedings of the 27 th Annual Hawaii Int'l Conference on System Sciences, Vol. 3, pgs. 631-640; c. 1994.
Q.M.H.	4.	"Information Extraction from Electronic Mail" by A. Kawai et al. Information Processing Society Technical Report, pgs. 57-64 (with English translation of relevant portions), c. 1994
	5.	"A Method for Retrieval of a Target Still Image By Selecting Similar and Dissimilar Images" by T. Nomura, et al. Information Processing Society of Japan, No. 4, pgs. 204-206, c. 1992

EXAMINER

[Signature]

DATE CONSIDERED

August 13, 2004

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER

M.H.

DATE CONSIDERED

August 4, 2004

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 041-1894D Division of prior S/N 09/506,600		SERIAL NO. Not yet assigned	
				APPLICANT Toshiki KINDO			
				FILING DATE 7/31/01		GROUP Not Yet Assigned	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<i>M. H.</i>	5,048,100	Sept. 1991	Kuperstein				
	5,226,092	July 1993	Chen				
	5,446,891	Aug. 1995	Kaplan et al.				
	5,535,382	July 1996	Ogawa				
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<i>M. D.</i>	6,067,535	May 2000	Hobson et al.				
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
	06.243.173	Sept 1994	Japan (w/ English Abstract)				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	M. Ojala, "Filtered Information and Intelligent Agent Software", Business Information Alert, vol. 8, no. 6						
	H. Chen, "A machine learning approach to document retrieval: an overview and an experiment", Proceedings of the 27th Annual Hawaii International Conference on System Sciences, vol. 3, pp. 631-40						
	"INFORMATION EXTRACTION FROM ELECTRONIC MAIL" A. Kawai et al., Information Processing Society Technical Report, Natural Language Processing 101-8 (1994, 5, 27); pp., 57 - 64 (w/partial English translation)						
	T. Nomura et al., "A Method for retrieval of a target still image by selecting similar and dissimilar images", Proceedings of 45th National Conference, Information Processing Society of Japan, No. 4, p.4-204 - 4-206						
<i>M. H.</i>	B. Sheth et al., "Evolving Agents for Personalized Information Filtering", Proceedings of 9 th Conference on Artificial Intelligence for Applications, IEEE Computer Society Press, pp. 345-352, 1993						
	Schapire et al., "Boosting and Rocchio Applied to Text Filtering", SIGIR ACM pp. 215-223, 8/1998						
	Syed et al "A study of support vectors on model independent example selection", KDD ACM p272-6; 7/99						
	Merhav et al "Universal sequential learning and decision from individual data sequence" COLT ACM pp 413-427, 8/1992						
<i>M. D.</i>	Blum et al. "On line learning and metrical task system problem", COLT ACM pp 45-53, 6/1997						
EXAMINER <i>[Signature]</i>				DATE CONSIDERED <i>December 19, 2001</i>			

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10/15/01
 09/16/01
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